



**UNITED STATES PATENT AND TRADEMARK OFFICE**

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,378	12/10/2001	Kenneth W. Wheelock	

**CONFIRMATION NO. 3897**

**FORMALITIES LETTER**



\*OC00000007343984\*

Hamilton Sundstrand Corporation  
4747 Harrison Ave.  
P.O. Box 7002  
Rockford, IL 61125-7002

Date Mailed: 01/23/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Teguest Worldwide

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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MP/H  
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Please type a plus sign (+) inside this box

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

12

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/>	Fee Transmittal Form (1 page)	<input checked="" type="checkbox"/>	Assignment Papers (for an Application) (3 pages)	After Allowance Communication to Group
	Fee Attached	<input checked="" type="checkbox"/>	Drawing(s) (4 pages)	Appeal Communication to Board of Appeals and Interferences
	Amendment / Response		Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
	After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information
	Affidavits/declaration(s)		Petition to Convert to a Provisional Application	Status Letter
	Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below):  Declaration (2 pages)
	Express Abandonment Request		Terminal Disclaimer <input checked="" type="checkbox"/>	
	Information Disclosure Statement		Small Entity Statement	
	Certified Copy of Priority Document(s)		Request for Refund	
	Response to Missing Parts/Incomplete Application		Remarks	
<input checked="" type="checkbox"/>	Response to Missing Parts under 37 CFR 1.52 or 1.53 (2 pgs)			

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Stephen G. Mican Hamilton Sundstrand Corporation
Signature	
Date	18 March 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: 19 Mar 2002

Typed or printed name	Christine M. Mattingly		
Signature		Date	19 March 2002



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170.00)

## Complete if Known

Application Number	10/016,378
Filing Date	12/10/2002
First Named Inventor	Wheelock
Examiner Name	
Group Art Unit	
Attorney Docket No.	B05593-FAL

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>08-0385</b></p> <p>Deposit Account Name <b>Hamilton Sundstrand Corp.</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th colspan="3">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td colspan="3">Surcharge - late filing fee or oath</td> <td>130.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td colspan="3">Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td colspan="3">Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td colspan="3">For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td colspan="3">Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td colspan="3">Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td colspan="3">Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td colspan="3">Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td colspan="3">Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td colspan="3">Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td colspan="3">Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td colspan="3">Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td colspan="3">Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td colspan="3">Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td colspan="3">Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td colspan="3">Petition to revive - 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<p>**or number previously paid, if greater; For Reissues, see above</p>																																																																																																																																																																																																																																																														

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Stephen G. Mican	Registration No. (Attorney/Agent)	31,983	Telephone	815-226-6194
Signature	<i>Stephen G. Mican</i>	Date	18 Mar 2002		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.